

“What’s Happening With SMS?”

Keeping Flight Standards and Industry Informed!

March, 2015 Issue

The Four SMS Components

[Safety Policy](#)

[Safety Risk Management](#)

[Safety Assurance](#)

[Safety Promotion](#)



**What Changed?
from NPRM Part 5
to
Final Rule**

Part 5 Rule is Published

The long awaited 14 CFR part 5 rule (Safety Management Systems for Part 121 Certificate Holders) was published on January 8, 2015. After the standard 60 day waiting period, the rule will become effective March 9, 2015 requiring all 14 CFR part 121 certificate holders to develop and implement a Safety Management System within their organizations. For information on the changes between NPRM part 5 and the final rule, see the “What Changed?” link in the upper right side of this newsletter.

All other certificate holders who choose to implement SMS will continue to do so under the SMS Voluntary Program (SMSVP) using the SMSVP Standard. One of the primary differences in the SMSVP Standard and the part 5 rule is that the dates for implementation plan submission, approval, and SMS acceptance specified in §5.1 do not apply to those who are implementing SMS under the SMSVP.

SMS Regional Points of Contact to Play Greater Support Role

The Regional Point of Contact (RPOC) is the primary “local” resource for SMS implementation and support within their respective regions. Although the SMS Program Office (SMSPO) is the final authority on the application of the SMS Voluntary Program Standard or the part 5 rule, the RPOCs may be asked to address and help resolve SMS related conflicts on a local or regional level. For example, disagreements over Implementation Plan suitability between the [\(cont.\)](#)

Part 5 Rule & Associated Guidance

New! [14 CFR Part 5](#)
January 8, 2015

[View Part 5 Correction](#)
January 13, 2015

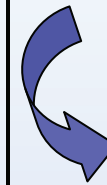
New! [AC 120-92B](#)
Includes Accountable Executive Selection & Verification Tools

New! [Notice N 8900.281](#)
Implementation Plan Approval
Includes SMS Pilot Project/Part 5 Bridging Tool

New! [FAA Order 8900.1](#)
Vol. 17, Safety Management Systems

2015 SMS Focus Group Meeting Scheduled

Sponsored by the AFS-900 SMS Program Office



When
April 28 - 30, 2015

Where
Los Angeles

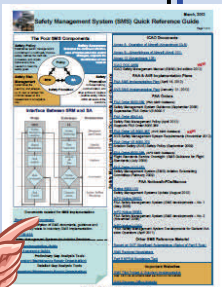
Who
Service Providers implementing SMS and their FAA CMTs

[More information](#)

[Registration Form](#)

SMS Quick Reference Guide

The Quick Reference Guide provides links to all of the current SMS documents and guidance for use by FSDOs, CMOs, and service providers to assist in SMS implementation.



[Click here](#)

Questions or Comments?
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Attributes, Safety Management Principles, and Part 5

Don Arendt, PhD

More Information
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Authority
§5.23 (b)

Responsibility
§5.23 (a)

Procedures
§5.51

Interfaces
§5.53 (a)

Process Measures
§5.71 (a)

Controls
§5.55 (c)

